

## CHECKLIST FOR PROCESSING INDEPENDENT ADOPTIONS

### 1. Primary Statutes for Review

- a. Adoption: ORS 109.309
- b. Petition: ORS 109.315
- c. Adoption Summary and Segregated Information Statement: ORS 109.317
- d. General Judgment of Adoption: ORS 109.350

Retainer Agreement and Client Information obtained.

*NOTE:* Identify, discuss and resolve any issues, including birth father and the effect of the Indian Child Welfare Act.

File a Filiation Request with Oregon Vital Records by fax before and after the child's birth for verification that no paternity proceeding has been properly filed by any potential birth father.

### 2. Document Preparation

- a. **Petition for Adoption** (ORS 109.315)

Petitioners' full names. (109.315(1)(a))

The state and length of residency in the state of the petitioner, and information sufficient to establish residency requirement of ORS 109.309(2) has been met. (109.315(1)(b))

Current marital or domestic partnership status of Petitioners. (109.315(1)(c))

An explanatory statement why the petitioners are of sufficient ability to bring up the Minor Child and furnish suitable nurture and education sufficient for judgment to be entered under ORS 109.350. (There is considerable variability regarding the level of detail required by different counties. Inquire as to local practice and consider including: information regarding employment of petitioners, such as employer and length, sufficiency of income, type of residence and space for child, ability to provide medical care, plans for schooling, success with other children, if any, etc.). (109.315(1)(d))

Information sufficient for court to establish compliance with jurisdiction and venue requirements of ORS 109.309(4) and (5) (109.315(1)(e)).

Full name, gender, and date of birth and place of birth of child. (109.315(1)(f))

The marital or domestic partnership status of the biological mother when the child was conceived and born and during the 300 days prior to the date of birth of the child. (109.315(1)(g))

## CHECKLIST FOR PROCESSING INDEPENDENT ADOPTIONS

- A statement describing the steps taken to determine whether or not there is reason to know that the child is or is not an Indian Child as defined in the Indian Child Welfare Act (25 U.S.C. 1901 et seq.), which statement may be provided in a supplemental declaration and incorporated by reference into the Petition. If the child is an Indian Child, a statement that the adoption complies with the requirements of Oregon law and the federal Indian Child Welfare Act, including judicial consents, notice to tribe(s) and putative father(s), and placement preferences.

*NOTE:* The 2021 Oregon Legislature enacted broad revisions expanding the ICWA determination and notice requirements in independent adoptions, and special attention should be given to documentation of such efforts.

- Name and relationship to child of any person who has executed a written release or surrender of parental rights or of rights of guardianship of the Minor Child as provided by ORS 418.270 and the date of the release or surrender document. (109.315(1)(i))

*NOTE:* Each county has its own policy on the requirement of a consent being notarized. It is good practice to notarize or use an ORCP 1E Declaration on a consent whether or not it is required by statute to avoid rejection by the Court.

- Name and relationship to child of any person who has given consent pursuant to ORS 109.321 and date consent given. (109.315(1)(j))
- Name and relationship to child of any person or entity for who the consent requirement is waived or not required. (109.315(1)(k))

*NOTE:* Along with the name and relationship, include a detailed explanation of *why* the consent requirement for this person is waived or not required. Three common scenarios include (1) the case where the birth father has not established paternity, has not taken sufficient steps to accept responsibility for the child such that he is entitled to notice of the adoption and make his consent necessary under ORS 109.092 and ORS 109.096, and is not participating in the adoption process; (2) the case where the birth mother is married, but her spouse is not the genetic parent and is not entitled to notice of the adoption, nor is the spouse's consent required pursuant to ORS 109.326; and (3) the case where the consent of the legal parent or parents whose rights are to be terminated is not required due to abandonment and neglect under ORS 109.324. In these cases, the attorney should recite the relevant facts and include reference to the affidavit of a person with knowledge of the facts (often the birth mother or the child's other primary caregiver) swearing to the same.

- Name and relationship to Minor Child of all persons who signed Certificate of Irrevocability under ORS 109.321(2). (109.315(1)(L))
- Statement of the facts and circumstances under which Petitioners obtained physical custody of the child, including date of placement with Petitioners and name and relationship to child of person or entity placing child with Petitioners. (109.315(1)(m))
- Length of time child has been in physical custody of Petitioners; if child not with Petitioners, provide reason, and date and manner in which Petitioners will obtain physical custody of child. (109.315(1)(n))

## CHECKLIST FOR PROCESSING INDEPENDENT ADOPTIONS

- Whether or not a continuing contact agreement exists under ORS 109.305, including names of the parties to the agreement and date of execution. (109.315(1)(o))
- A statement establishing that the requirements of ORS 109.353 regarding advisement about Oregon's Voluntary Adoption Registry and the Registry's services have been met. (109.315(1)(p))
- A statement establishing that the requirements of ORS 109.346 regarding notice of right to receive payment for adoption-related counseling sessions have been met. (109.315(1)(q))
- Statement that UCCJEA information is in ASSIS. (109.315(1)(r))
- Statement that ICPC does or does not apply. If applicable, statement of efforts to comply (109.315(1)(s)). If applicable, attach ICPC 100a as exhibit. (ORS 417.200, <http://www.aphsa.org>)

*NOTE:* The ICPC 100a contains identifying information, and it can be attached to the ASSIS rather than the Petition at the attorney's discretion.

- Unless waived, statement that home study was completed in compliance with ORS 109.309(7). (109.315(1)(t))
- A statement that Petitioners have been informed of the estimated costs and expenses associated with this adoption proceeding. (109.315(3)(e) and 109.311(1), (2))
- A declaration made under penalty of perjury that the petition, and the information and statements contained in the petition, are true to the best of the petitioners' knowledge and belief and that the petitioner understands the petition, and information and statements contained in the petition, may be used as evidence in court and are subject to penalty for perjury. (109.315(1)(u))

### b. **Prayer/Request** (ORS 109.315(2))

- Entry of General Judgment of Adoption. (109.315(2)(a))
- Petitioner be permitted to adopt the Minor Child as the child of petitioner for all legal intents and purposes. (109.315(2)(b))
- Finding that the court has jurisdiction over the adoption proceeding, the parties, and the Minor Child. (109.315(2)(c))
- Termination of parental rights and/or determination of nonparentage. (109.315(2)(d))
- Approval of the change of the child's name to the proposed adoptive name or that the child's name remain unchanged. (109.315(2)(e))
- If applicable:* A finding that the Continuing Contact Agreement entered under ORS 109.305 is in the best interests of the Minor Child, and that, if the Minor Child is 14 years of age or older, the Minor Child has consented to the agreement, and that the court incorporate the Continuing Contact Agreement by reference into the adoption

## CHECKLIST FOR PROCESSING INDEPENDENT ADOPTIONS

judgment. (109.315(2)(f))

- That the court require preparation of and certify an Adoption Report as provided in ORS 432.223. (109.315(2)(g))
- That all records, papers, and files in the record of the adoption be sealed as provided under ORS 109.319. (109.315(2)(h))
- Any other relief requested by Petitioner. (109.315(2)(i))

### c. **Exhibits**

*Must include if applicable, but need not be limited to:*

- Any written release or surrender of the Minor Child for adoption, or a written disclaimer of parental rights. (109.315(3)(a))
- Any written consent to the adoption. (109.315(3)(b))
- Any Certificate of Irrevocability and Waiver. (109.315(3)(c))
- Although ORS 109.315(3) does not specifically list it, if the consent requirement is waived or not required for a person, the attorney should include as an exhibit an Affidavit from someone with personal knowledge of the facts demonstrating *why* consent is waived or not required (in many circumstances this is the birth mother).
- Any Continuing Contact Agreement. (109.315(3)(d))
- Adoption Disclosure Statement. (109.315(3)(e))
- Any other supporting documentation to comply with the petition requirement in ORS 109.309 and ORS 109.315.

**\*\*Petition must be signed by Petitioners\*\***

### d. **Adoption Summary and Segregated Information Statement** (ORS 109.317)

- Full name, permanent address and telephone number of each Petitioner. (109.317(1)(a))
- Current Full Name, the Proposed Adoptive Name and Date and Place of Birth of the Minor Child. (109.317(1)(b))
- Names, permanent addresses and telephone numbers of any person whose consent to the adoption is required under ORS 109.321. (109.317(1)(c))
- Name and relationship to Minor Child and address of any person or entity for whom written consent requirement of ORS 109.321 is waived or not required as provided in 109.322, ORS 109.323, 109.324, 109.325, 109.326, and 109.327, or whose written consent may be substituted for the written consent requirement under ORS 109.321 as provided in 109.322, ORS 109.323, 109.324, 109.325, 109.326, 109.327, 109.328 and 109.329. (109.317(1)(d))

## CHECKLIST FOR PROCESSING INDEPENDENT ADOPTIONS

- The information required by the Uniform Child Custody Jurisdiction and Enforcement Act under ORS 109.701 to 109.834. (109.317(1)(e))
- Statement that adoption agency information is not applicable. (109.317(1)(f))
- Name, bar number and contact information for any attorney representing a Petitioner or a person whose consent to the adoption is required under ORS 109.321. (109.317(1)(g))
- Type of adoption proceeding: (109.317(1)(h))
  - Private Agency Adoption, either domestic or international.
  - Non-related Independent Adoption.
  - Determination of validity of an adoption in a foreign nation under ORS 109.385.
  - Relative Independent Adoption.
  - Stepparent Independent Adoption.
  - An Independent Adoption involving one Petitioner who retains parental rights.
  - Out-of-State Public Agency Adoption.
  - An adoption in which the Department Human Services gives consent under ORS 109.325.
  - Any other specified adoption.

e. **Exhibits** (ORS 109.317)

- Home Study or written evidence that a Home Study has been approved as required by ORS 109.309, unless waived or Copy of Petitioners' Request for Waiver of Home Study. Although the statute does not specifically allow for a copy of the request for waiver to be substituted for the waiver itself, this is common practice and courts have generally been willing to accept this substitution. (109.317(2)(a) and 109.317(3))
- Report of Adoption as required under ORS 432.223. (109.317(2)(b))
- Medical history of the Minor Child and of the biological parents as required by ORS 109.342. (109.317(2)(c))
- May include ICPC 100A as exhibit if it contains identifying information.

**NOTE:** Before Judgment is entered in this adoption, Petitioners have an obligation to file an Amended Adoption Summary and Segregated Information Statement when any of the required information changes or when information not previously known or ascertainable becomes known or ascertainable.

f. **Judgment**

- Findings of Fact to Support Order (ORS 109.350) and Refer to the Petition for Adoption.
- Termination of Parental Rights of Birth Parent(s) and/or

## CHECKLIST FOR PROCESSING INDEPENDENT ADOPTIONS

- Determination of Non-Paternity of Birth Father
- Acknowledgment of the Court's Authority to Make a Custody Determination (UCCJEA).
- Child's Name Change.
- Language Making Petitioners the Legal Parents of the Child.
- Approval and Incorporation of Continuing Contact Agreement if applicable.
- Directing that the Court Require Preparation of and Certify an Adoption Report as provided in ORS 432.223.
- Directing Oregon Health Authority, Vital Records Department, to Issue a New or Amended Birth Certificate for the Child.
- Language Sealing Records.

### 3. Fees

- a. \$263.00 payable to State of Oregon through the eFiling system.
- b. \$60 check payable to OHA/Vital Records for Amended Birth Certificate.
- c. \$800 check payable to Oregon Department of Human Services for Post Placement Report.

### 4. After Filing of the Petition and Related Documents

- a. ORS 109.315(5) requires that a true copy of the Petition and ASSIS to be served on the Department of Human Services by either registered or certified mail with return receipt, or personal service within 30 days after the documents have been filed with the court.
- b. If all requirements have been met, DHS will issue you a letter waiving the 90-day waiting period and assign the Placement Report to the agency, or DHS will issue a letter outlining further requirements.
- c. Following receipt of the DHS Waiver, the agency will prepare an Agency Placement Report. The agency will file the Placement Report directly with the court.
- d. Once the DHS Waiver and Placement Report are issued, a General Judgment of Adoption can be filed with the court.

**NOTE:** Every document is subject to errors. Review all incoming and outgoing documents, including but not limited to certificates of adoption and birth certificates. Every court sets its own policies on processing adoptions. Be prepared for requests for additional documents or information.

## CHECKLIST FOR PROCESSING INDEPENDENT ADOPTIONS

### 5. Client File Notes

Date File Opened: \_\_\_\_\_  
Date of Client Meeting: \_\_\_\_\_  
County for Filing: \_\_\_\_\_  
Date Filed: \_\_\_\_\_  
Case Number: \_\_\_\_\_  
DHS Served: \_\_\_\_\_  
DHS Waiver received: \_\_\_\_\_  
Agency Consent/Placement Report Received: \_\_\_\_\_  
Adoption Assistance Granted (if applicable): \_\_\_\_\_  
Date Judgment Submitted: \_\_\_\_\_  
Date Judgment Signed: \_\_\_\_\_  
Date Judgment Sent to Client: \_\_\_\_\_  
Date Birth Certificate Received: \_\_\_\_\_  
Date Birth Certificate Sent to Client: \_\_\_\_\_  
Date File Closed: \_\_\_\_\_  
File Retention: See the PLF file retention and destruction guidelines, available on the PLF website, [www.osbplf.org](http://www.osbplf.org).

*NOTE:* BarBooks is a fantastic resource for finding information and sample forms regarding adoption. Go to the bar website at [www.osbar.org](http://www.osbar.org), log-in, and click on the link for BarBooks. You can find adoption information and sample forms in the “Family Law in Oregon” book, specifically Chapter 19 (Adoption; Assisted Reproduction).

### IMPORTANT NOTICES

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